



## UNITED STATES PATENT AND TRADEMARK OFFICE

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APPLICATION NUMBER	FILING OR 371 (c) DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/784,050	02/20/2004	Phillip J. Edwards	4189-PA29

Robert A. Parsons  
 PARSONS & GOLTRY  
 Suite 260  
 340 East Palm Lane  
 Phoenix, AZ 85004



CONFIRMATION NO. 6829

## FORMALITIES LETTER



\*OC000000013296095\*

Date Mailed: 07/21/2004

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is **\$130** for a Large Entity

- **\$130** Late oath or declaration Surcharge.

Items Required To Avoid Processing Delays:

The item(s) indicated below are also required and should be submitted with any reply to this notice to avoid further processing delays.

- A new oath or declaration, identifying this application number is required. The oath or declaration does not comply with 37 CFR 1.63 in that it:
- does not identify the citizenship of each inventor.

Replies should be mailed to: Mail Stop Missing Parts  
 Commissioner for Patents

10/19/2004 KBETEM1 00000014 10784050

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P.O. Box 1450  
Alexandria VA 22313-1450

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*A copy of this notice MUST be returned with the reply.*

T. J.  
Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: EDWARDS, PHILLIP J.; HO, )  
SAMUEL; LEVIN, BRADLEY S.; )  
MUSK, WILLIAM; )  
Serial No.: 10/784,050 ) Ex:  
Filed: 20 FEB 2004 ) Art Unit:  
For: OPTICAL SUBASSEMBLY FOR )  
OPTOELECTRONIC DEVICES )

CERTIFICATE OF MAILING

Commissioner of Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

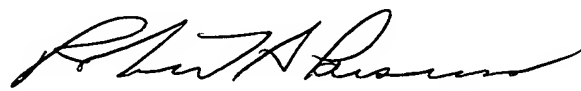
I hereby certify that the attached the Submission of Missing Parts of Application; Copy of Notice to File Missing Parts of Nonprovisional Application; Declarations and Powers of Attorney; Request for Extension of time; Checks for fees; and a postcard are being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner of Patents P.O. Box 1450 Alexandria, VA 22313-1450, on 12 October 2004.

  
Signature

12 October 2004

10-12-04  
Date

Respectfully Submitted,

  
Robert A. Parsons  
Attorney for Applicant  
Reg. No. 32,713  
CN 29370

340 East Palm Lane  
Suite 260  
Phoenix, Arizona 85004  
(602) 252-7494

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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SUBMISSION OF MISSING PARTS OF APPLICATION

Commissioner of Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450  
Mail Stop Missing Parts

Dear Sir:

This is in response to the Notice to File Corrected  
Application Papers, mailed 21 July 2004.

Enclosed is a copy of the Notice referred to above and  
Declarations and Powers of Attorney, twelve (12) page(s).

Having now complied with all of the requirements of 37  
CFR 1.84 and 37 CFR 1.121, applicant respectfully requests  
that this application be placed upon the files for  
examination.

Respectfully submitted,



Robert A. Parsons  
Attorney for Applicant  
Reg. No. 32,713  
CN 29370

12 October 2004

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